Please type a plus sign (+) inside this box  $\rightarrow$  [+]

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork I	Reduction Act of 1995, no person	ns are required to	respond to a collection of informa	tion u	nless it displays a valid OMB control number.		
7) . TD	A NICINATURE A T		Application Number		10/719,385		
TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Filing Date First Named Inventor		November 21, 2003			
				Margot O'TOOLE et al.			
		Group Art Unit		1644			
			Examiner Name		Aniruddho R. Chaudhrui		
Total Number of Pa	ages in This Submission 4	ŀ	Attorney Docket Number		031896-090000 (AM100863)		
		ENCLOSU	RES (check all that apply)				
Fee Transmittal			nent Papers Application)		After Allowance Communication to Group  Appeal Communication to Board of		
Fee Attached		Drawins	Drawing(s)		Appeals and Interferences		
Amendment  Accidentical			Declaration and Power of Attorney		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information		
Extension of Tin	declaration(s)	Petition	ng-related Papers		Status Letter		
l —			to Convert to a Provisional		Application Data Sheet		
Express Abando Information Disc	•	Applicat		×	Other Enclosure(s):		
Information Disc	sclosure Statement		of Attorney, Revocation of Correspondence Address	Co	pies of references		
Document(s)	n Filotoy	Terminal Disclaimer Request for Refund CD, Number of CD(s)					
Response to Mis							
I 👝 ' ''	Missing Parts	Remarks	Remarks				
•	FR 1.52 or 1.53		The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 (031896-090000) for the above identified docket number.				
	SIGNATU	RE OF APPI	LICANT, ATTORNEY, C				
Firm	Raymond Van Dyke,			<u> </u>	OBITI		
or Individual name	Nixon Peabody LLP		<u> </u>				
	409 9 <sup>th</sup> Street, N.W. Suite 900	409 9 <sup>th</sup> Street, N.W.					
	Washington, D.C. 20004-2128						
Signature	Carol 9	mas	26				
Date	March 29, 2005						
·	White 25, 2005	CERTIFI	CATE OF MAILING				
	CERTIFICATE (	OF MAILIN	G OR TRANSMISSION	N [37	CFR 1.8(a)]		
I hereby certify th	hat this correspondence	is being:					
deposite class ma 22313-1	ail in an envelope addre	es Postal Ser essed to: Co	vice on the date shown ommissioner for Patents,	belo , P. C	ow with sufficient postage as first D. Box 1450, Alexandria, VA		
□ transmi 703-746		date shown	below to the United Sta	ates ]	Patent and Trademark Office at		
Date				Sig	gnature		



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Patent Application of:

Margot O'TOOLE et al.

Examiner: Aniruddho R. Chaudhuri

Serial No. 10/719,385

Group Art Unit: 1644

Filed: November 21, 2003

For: COMPOSITION AND METHOD FOR TREATING LUPUS NEPHRITIS

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.56

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The documents are being submitted within three (3) months of the filing of this application or entry into the national stage of this application, or before the first Office Action on the merits, whichever is later, therefore no fee or certification is required under 37 C.F.R. § 1.97(b).

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

Date: March 29, 2005

By: \_\_\_\_\_\_\_ Raymond Van Dyke

Registration No. 34,746

NIXON PEABODY LLP

Suite 900 401 9<sup>th</sup> Street, N.W.

Washington, DC 20004-2128

Telephone: (202) 585-8000

Complete if Known Substitute for form 1449A/PTO 10/719,385 Application Number INFORMATION DISCLOSURE November 21, 2003 Filing Date STATEMENT BY APPLICANT Margot O'TOOLE et al. First Named Inventor (use as many sheets as necessary) 1644 Art Unit Aniruddho R. Chaudhrui Examiner Name Attorney Docket Number 031896-090000 (AM100863)

	U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
					•			
				·				

FOREIGN PATENT DOCUMENTS								
	Cite No.1	Foreign Patent Document		Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
	110.	Country Code <sup>3</sup> Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Approant of cited becamen	Figures Appear	T⁵	
			· · · · · · · · · · · · · · · · · · ·					
•								

Examiner	-		Date	
Signature	· · · · · · · · · · · · · · · · · · ·	·	Considered	

	OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.				
		DRAKE, et al., "Genetic analysis of the NZB contribution to lupus-like autoimmune disease in (NZB x NZW) F1 mice," <i>Pro Natl. Acad. Sci. USA</i> 91:4062-66, 1994				
		GUGLIELMOTTI et al., "Bindarit prolongs survival and reduces renal damage of NSB/W lupus mice," Clin. Exp. Rheumatol. 16:149, 1998				
•		FINCK et al., "Interleukin 6 promotes murine lupus in NZB/NZW F <sub>1</sub> Mice," The J. Clin. Invest. 94:585-591, 1994				
		YANG et al., "Dietary conjugated linoleic acid protects against end stage disease of systemic lupus erythematosus in the NZB/W F1 mouse," <i>Immunopharmacol. Immunotoxicol.</i> 22:433-49, 2000				

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222 uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.